NCI Budget Process

DCB Annual New Grantee Workshop
Office of Extramural Finance and Information Analysis
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NIH NATIONAL CANCER INSTITUTE

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Presentation Topics

1. Budget Appropriation

2. Budget Allocation

3. Budget Allocation & Policy Considerations

4. Funding Selection Process

Budget Appropriation: Three Phases

FY18 target date examples listed; actual dates will vary based on timing of budget deliberations and in Presidential transition years.

Formulation: Examine scientific and budget priorities to develop President's Budget (PB) submission for the Office of Management and Budget
March 2016 – January 2017

Presentation: Defend budget request at Congressional hearings (Congressional Justification); Funds appropriated
February 2017 – September 2017

Execution: Funds distributed to NCI Divisions, Offices, & Centers (DOCs)…**OBLIGATE FUNDS!!**
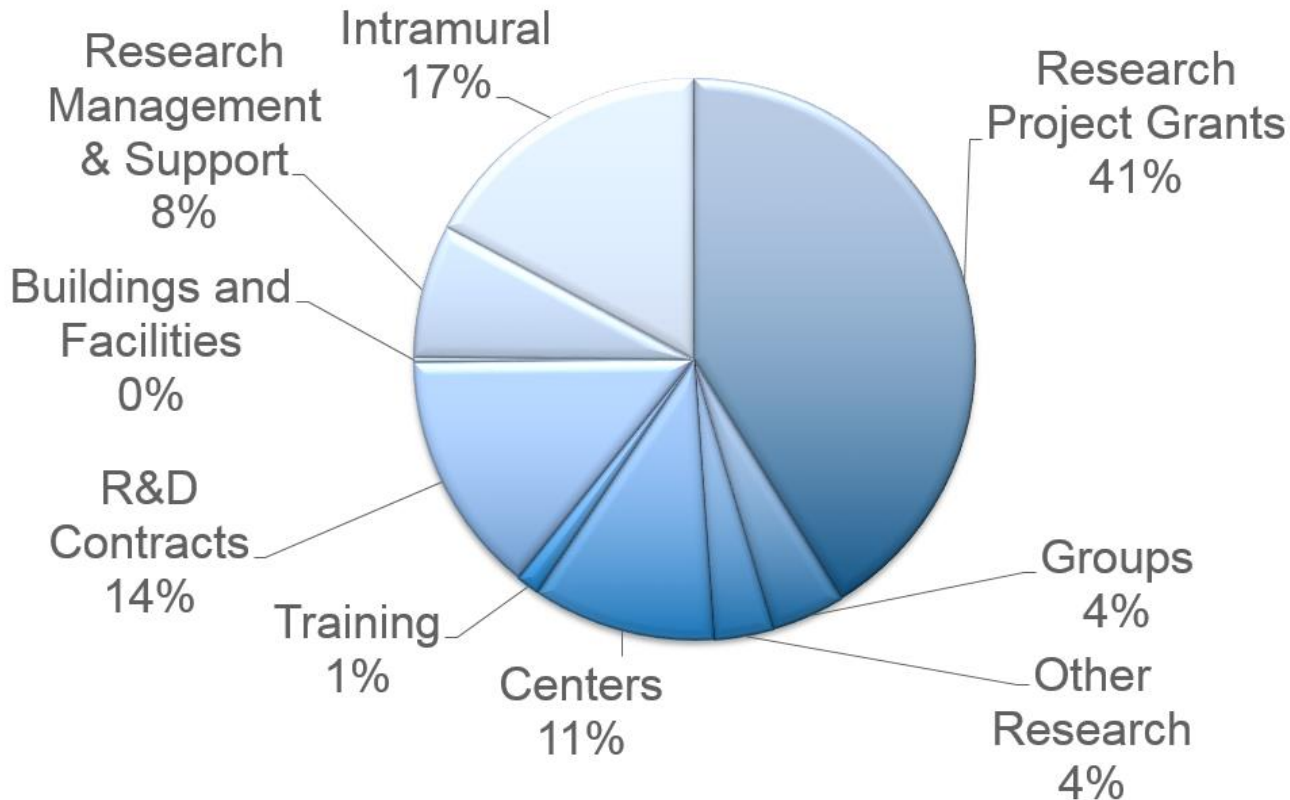October 2017 – September 2018

Formulation through Execution = approx. 2 ½ years
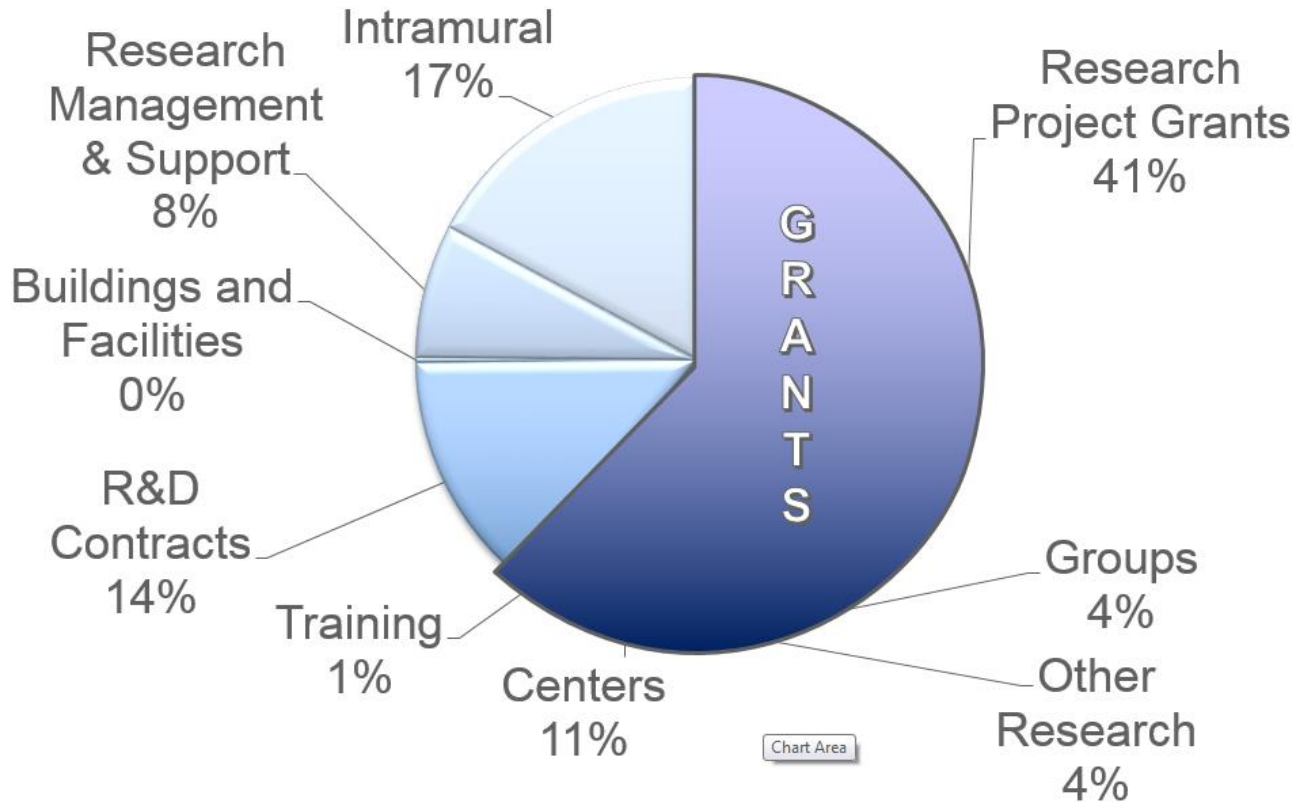
NIH Office of Budget - Budget Process Calendar:
https://officeofbudget.od.nih.gov/pdfs/FY18/Budget%20Process%20(Timeline%20Chart)-2017.pdf

FY16 Budget Allocation

FY16 NCI Appropriation: $5.2 Billion

FY16 Budget Allocation

Research Management & Support 8%

Intramural 17%

Research Project Grants 41%

Buildings and Facilities 0%

R&D Contracts 14%

Training 1%

Centers 11%

Groups 4%

Other Research 4%

GRANTS

Chart Area

FY16 NCI Grants Allocation: $3.2 Billion

Grants Budget Allocation
FY16 RPG Allocation Analogy

Budget (Your salary)	**$2,068M**
Subtract what you "have to" do…	
- SBIR/STTR mandate (Taxes)	- $101M
- Non-Competing (Mortgage)	- $1,454M
Funds for Competing (Flexible spending)	**$513M**
- RFA "Reserve" (Groceries)	- $70M
Funds for other initiatives (Plays, Vacation)	**$443M**

70% of Budget

Notes:
- Non-competing dollars include administrative supplements
- SBIR/STTR: Small business grant programs for early-stage, health and life science technology companies - https://sbir.nih.gov
- RFAs: Applications in response to a formal Request for Applications

Grants Budget Allocation
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Same general process for any component of the grants budget

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Budget & Policy Considerations

Decisions?

✓ Budget Allocations

✓ Paylines

✓ Policy Reductions

Budget & Policy Considerations

Budget & Policy Considerations

Success Rates

Policy Reduction

Average Cost

Number of Applications

Number of Awards

New Initiatives

Historical Trends
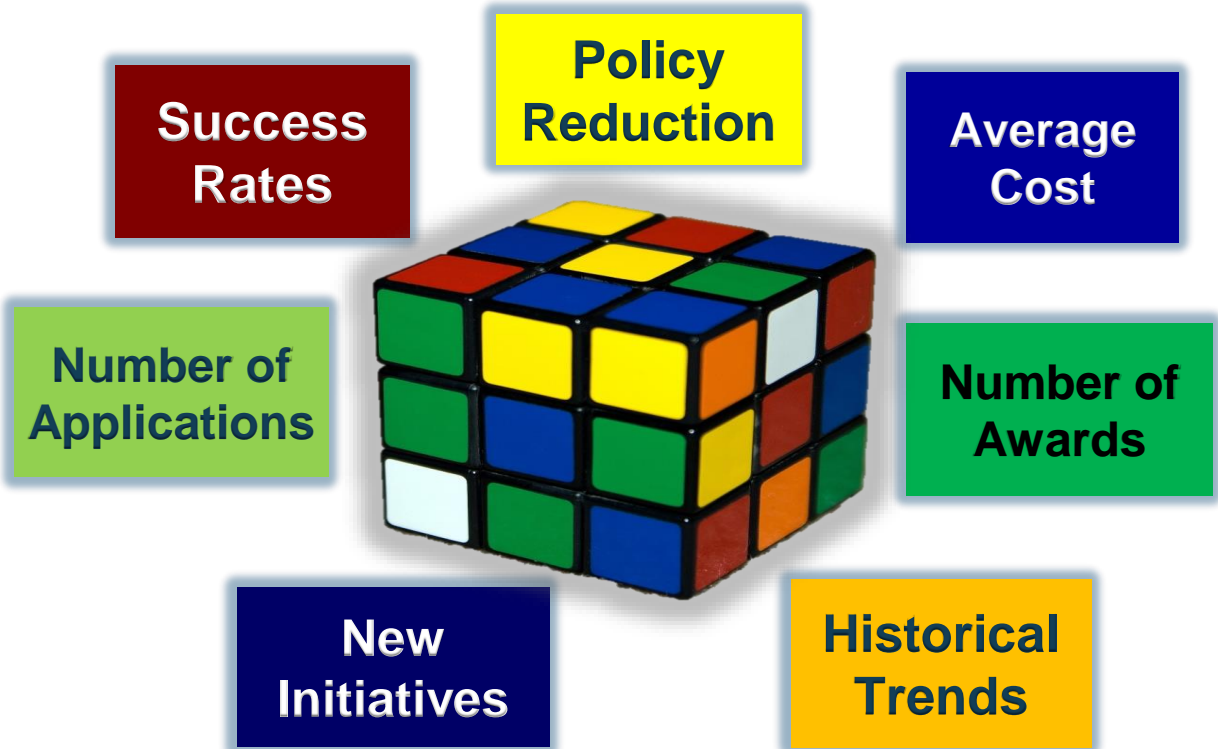
The Funding Policy Rubik's Cube

Funding Selection Process
Once we have the money, how are grants selected?

- **Paylines/Fundable Ranges**
  - Percentile or Impact Scores within which applications will likely be funded with minimal review
  - RPG Mechanisms with fundable ranges: R01, R21, R03, R15
- **Scientific Program Leaders (SPL) Selections**
  - P01, U01, Large R01, RFA
  - Other non-percentiled applications
- **Division Allocations**
  - Applications outside paylines
  - Administrative supplements
- **Selection Considerations**
  - Peer review
  - Filling gaps in cancer research portfolio
  - Especially novel or promising scientific approach