

NCI Budget Process

*DCB Annual New Grantee Workshop
Office of Extramural Finance and Information Analysis
Tenille McCatty, Deputy Director*

Presentation Topics

1. Budget Appropriation
2. Budget Allocation Process
3. Budget & Policy Considerations
4. Funding Selection Process



Budget Appropriation Phases - FY2020



Formulation

Examine
Scientific
Priorities

(March 2018 – January 2019)



Presentation

Congressional
Hearings &
Funds
Appropriation

(February 2019 – September 2019)



Execution

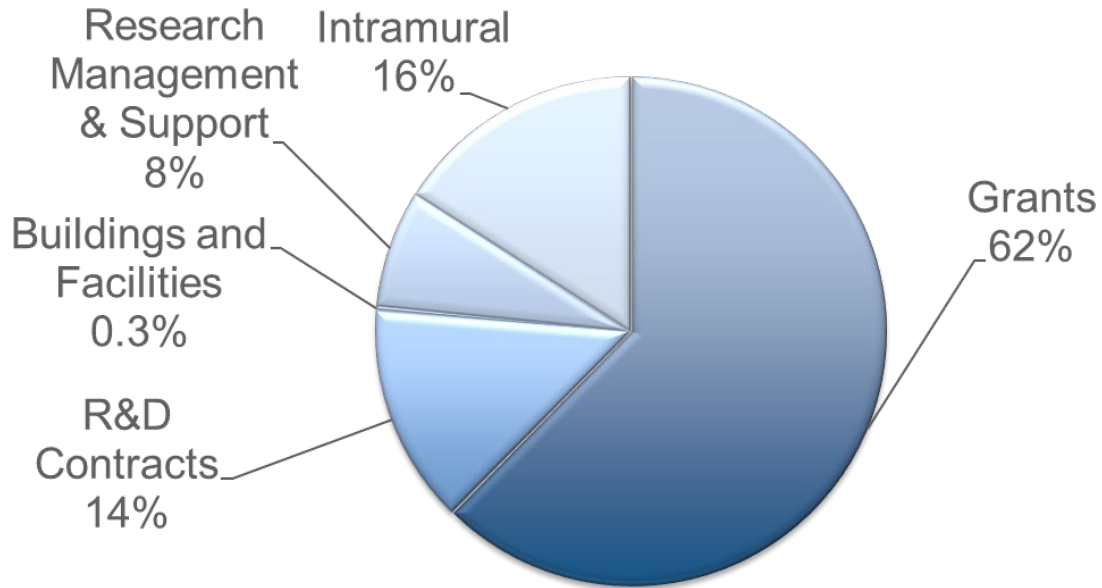
Obligate
Funds!

(October 2019 – September 2020)

Formulation through Execution = approx. 2 ½ years

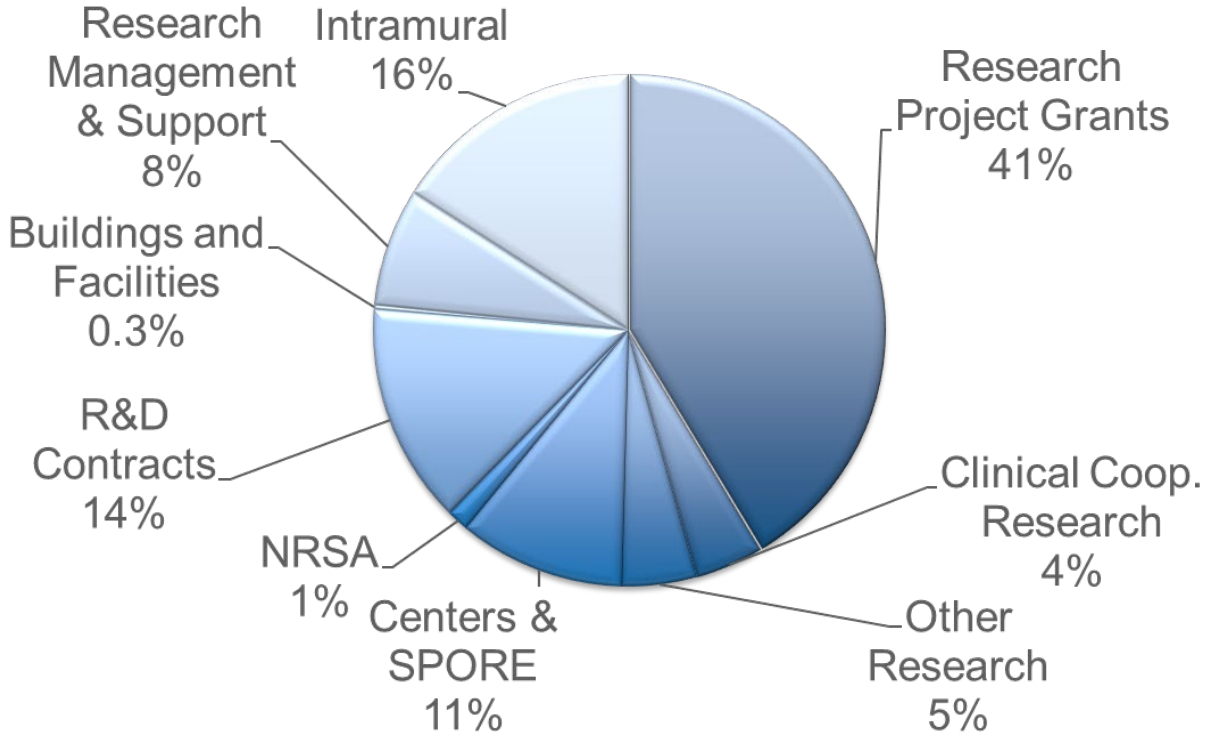
NIH Office of Budget - Budget Process Calendar: [https://officeofbudget.od.nih.gov/pdfs/FY18/Budget%20Process%20\(Timeline%20Chart\)-2017_508.pdf](https://officeofbudget.od.nih.gov/pdfs/FY18/Budget%20Process%20(Timeline%20Chart)-2017_508.pdf)

FY18 Budget Allocation



FY18 NCI Obligations: \$5.9 Billion

FY18 Budget Allocation



FY18 NCI Appropriation: \$5.9 Billion

Budget Allocation

FY18 RPG Analogy

(Same general process for any sub-component of the budget)

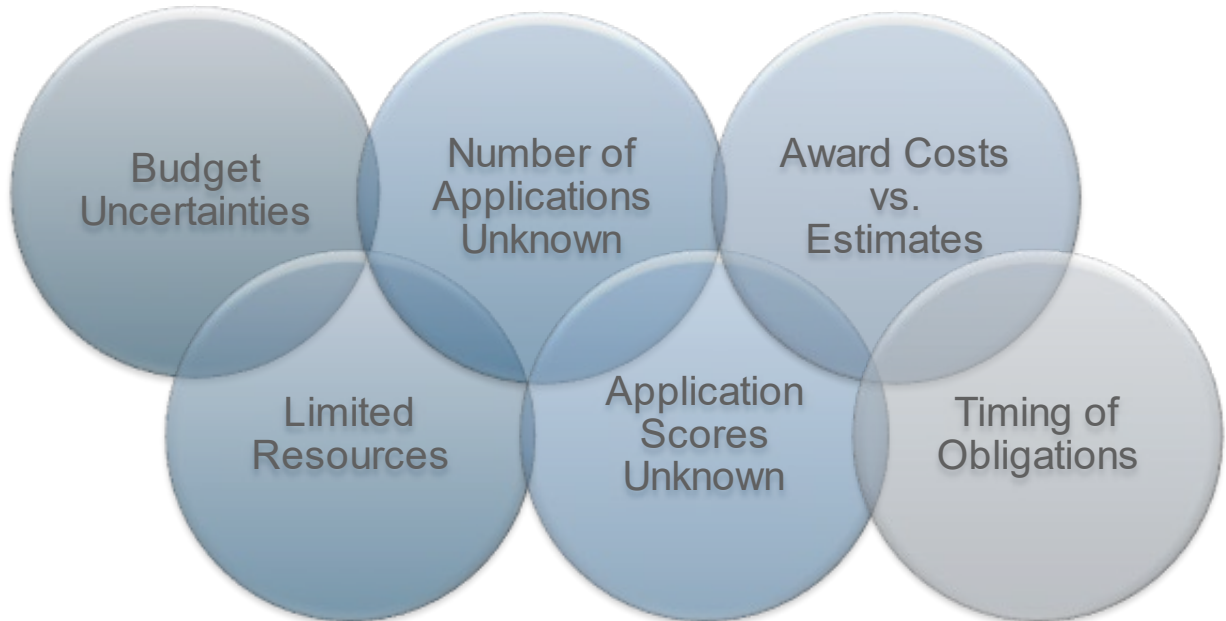
BUDGET (Salary)	\$2,276M
SMALL BUSINESS SET ASIDE (Taxes)	- \$139M
NON-COMPETING COMMITMENTS (Mortgage)	<u>- \$1,626M</u>
COMPETING AVAILABILITY	\$511M
RFA “RESERVE” (Groceries)	<u>- \$51M</u>
REMAINING FOR R01,P01, etc. (Tuition, Retirement)	\$460M

Note: Non-competing is over 70% of Budget

Budget & Policy Considerations

	NCI Budget & Policy Decisions
	✓ Budget Allocations
	✓ Paylines
	✓ Policy Reductions

Budget & Policy Considerations



Budget & Policy Considerations

Higher Policy Reduction ☹️



Lower Average Cost



Fund More Grants 😊

Lower Policy Reduction 😊



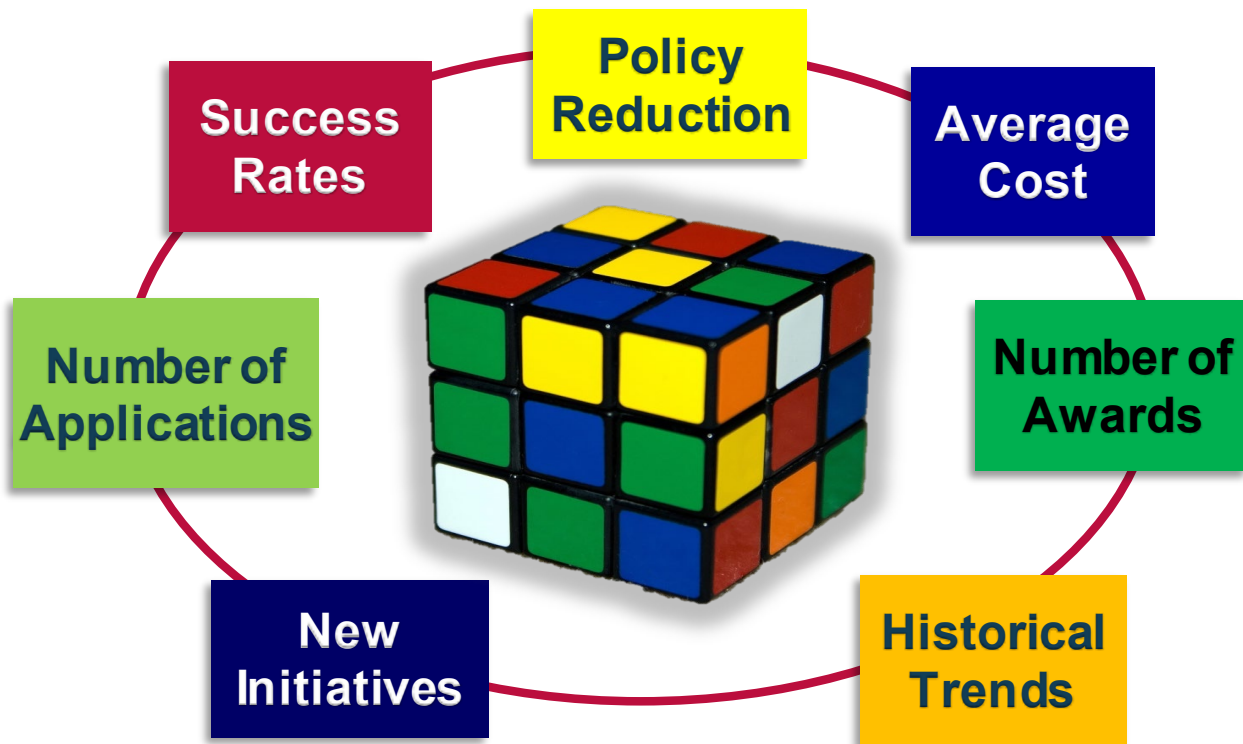
Higher Average Cost



Fund Fewer Grants ☹️



Budget & Policy Considerations



The Funding Policy Rubik's Cube

Funding Selection Process

How are individual grants selected?

- **Paylines/Fundable Ranges** (over 70% of unsolicited RPGs in FY18)
 - Percentile or Impact Scores within which applications will likely be funded with minimal review
 - RPG Mechanisms with fundable ranges: R01, R21, R03, R15
- **Scientific Program Leaders (SPL) Selections**
 - P01, U01, Large R01, RFA
 - Other non-percentiled applications
- **Division Allocations**
 - Applications outside paylines
 - Administrative supplements
- **Selection Considerations**
 - Peer review
 - Filling gaps in cancer research portfolio
 - Especially novel or promising scientific approach



Thank-you.