

NCI Budget Process

*DCB Annual New Grantee Workshop
Office of Extramural Finance and Information Analysis
Tenille McCatty, Deputy Director*

Presentation Topics

1. Budget Appropriation
2. Budget Allocation
3. Budget & Policy Considerations
4. Funding Selection Process

Budget Appropriation: Three Phases

FY19 target date examples listed; actual dates will vary based on timing of budget deliberations, particularly in Presidential transition years.

Formulation: Examine scientific and budget priorities to develop President's Budget (PB) submission for the Office of Management and Budget

March 2017 – January 2018



Presentation: Defend budget request at Congressional hearings (Congressional Justification); Funds appropriated

February 2018 – September 2018



Execution: Funds distributed to NCI Divisions, Offices, & Centers (DOCs)... **OBLIGATE FUNDS!!**

October 2018 – September 2019

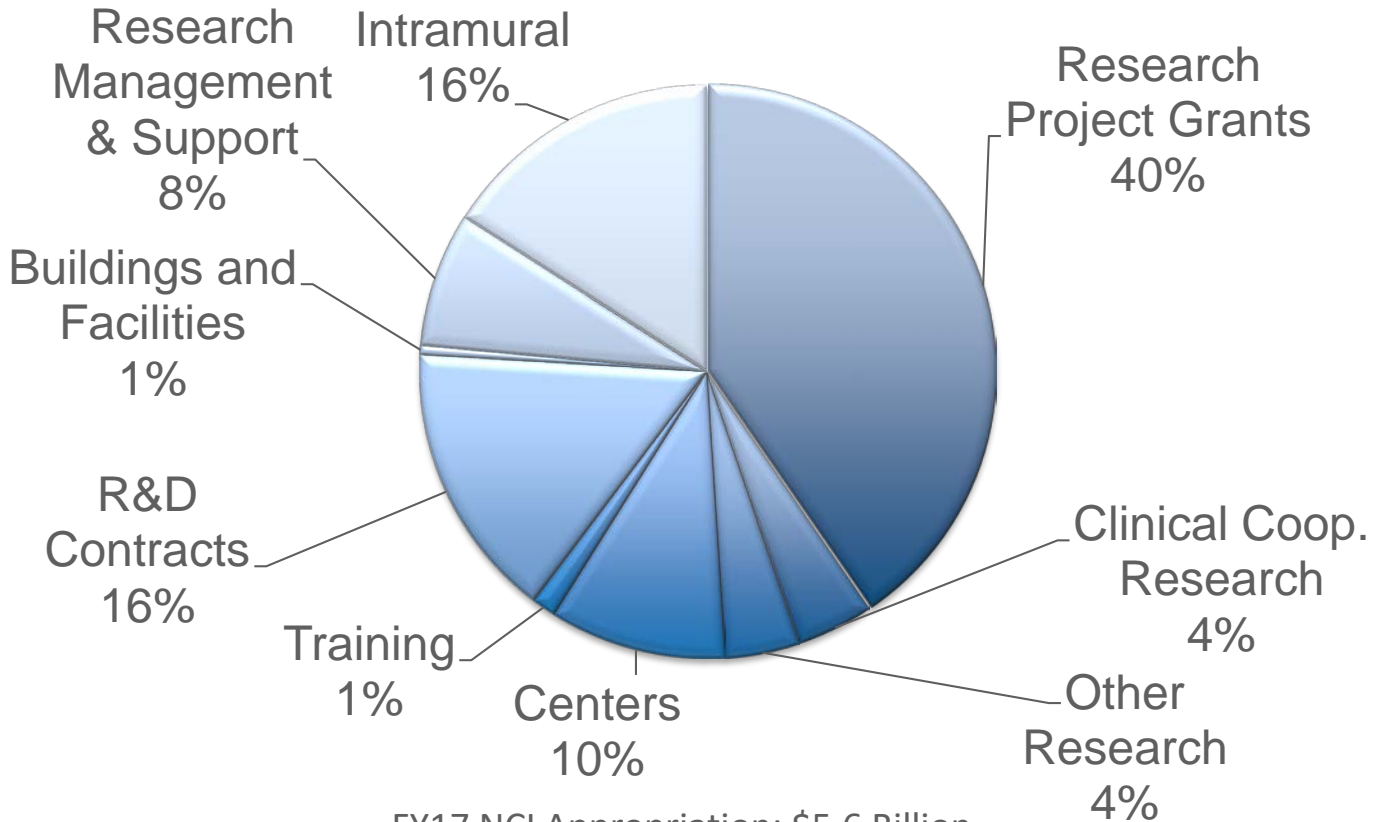


Formulation through Execution = approx. 2 ½ years

NIH Office of Budget - Budget Process Calendar:

[https://officeofbudget.od.nih.gov/pdfs/FY19/gbiPriceIndexes/Budget%20Process%20\(Timeline%20Chart\)-2018.pdf](https://officeofbudget.od.nih.gov/pdfs/FY19/gbiPriceIndexes/Budget%20Process%20(Timeline%20Chart)-2018.pdf)

FY17 Budget Allocation



FY17 NCI Appropriation: \$5.6 Billion

Grants Budget Allocation

FY17 RPG Allocation Analogy

BUDGET (Salary)	\$2,132M
SMALL BUSINESS SET ASIDE (Taxes)	- \$121M
NON-COMPETING COMMITMENTS (Mortgage)	- \$1,531M
COMPETING AVAILABILITY	<hr/> \$480M
RFA "RESERVE" (Groceries)	- \$53M
REMAINING FOR R01,P01, etc. (College)	<hr/> \$427M

Note: Non-competing is over 70% of Budget

Grants Budget Allocation

FY17 RPG Allocation Analogy

BUDGET (Salary)

SMALL BUSINESS SET ASIDE (Taxes)

NON-COMPETING COMMITMENTS (Page)

Same general process for any component of the grants budget

\$2,132M

- \$1,531M

REMAINING FOR R01,P01, etc. (College)

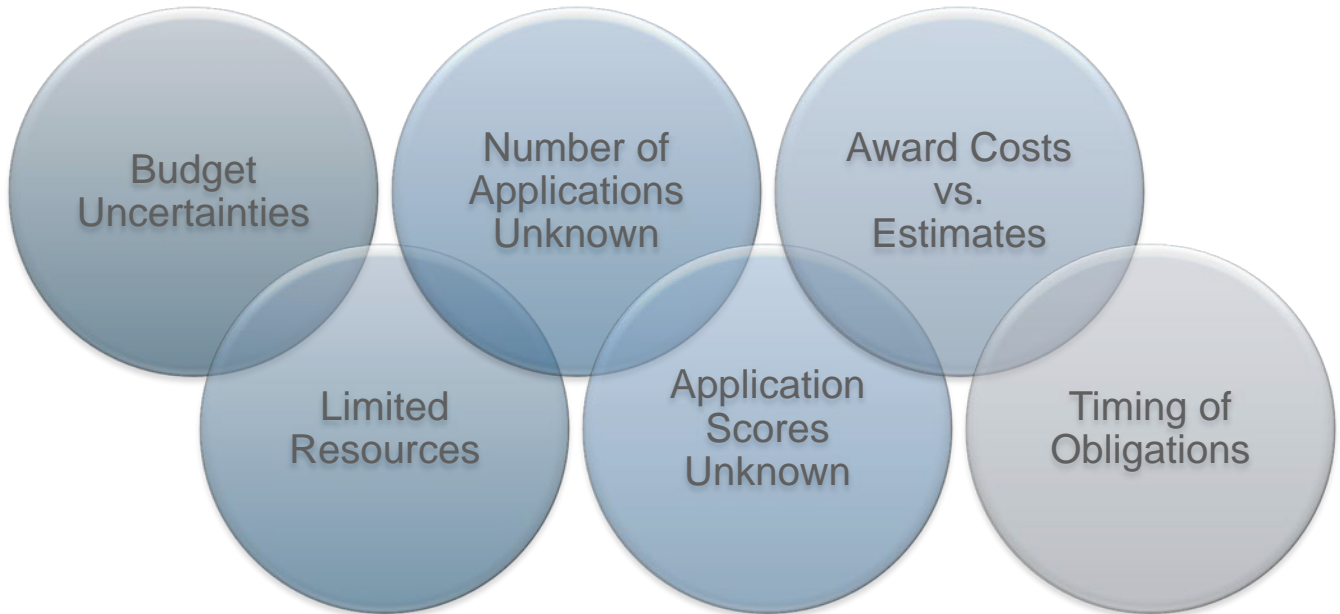
\$480M
- \$53M
\$427M

Note: Non-competing is over 70% of Budget

Budget & Policy Considerations

	NCI Budget & Policy Decisions
✓	Budget Allocations
✓	Paylines
✓	Policy Reductions

Budget & Policy Considerations



Budget & Policy Considerations

Higher Policy Reduction ☹️



Lower Average Cost



Fund More Grants 😊

Lower Policy Reduction 😊



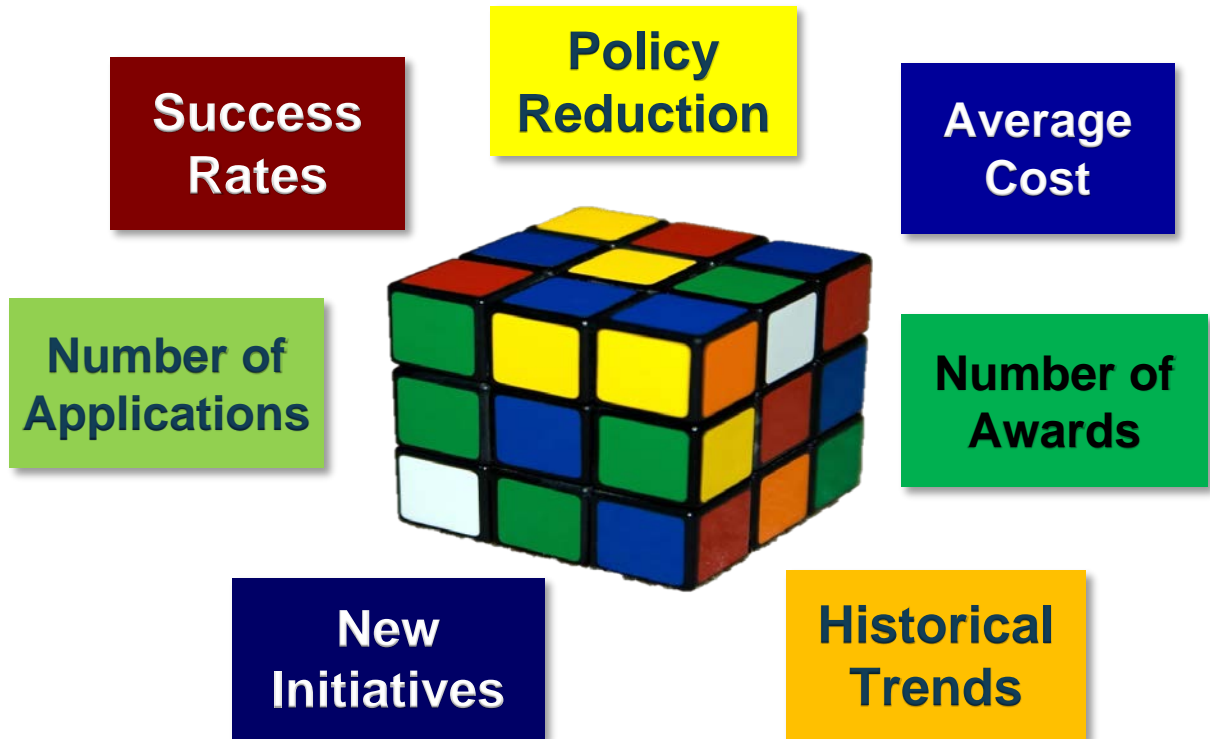
Higher Average Cost



Fund Fewer Grants ☹️



Budget & Policy Considerations



The Funding Policy Rubik's Cube

Funding Selection Process

How are individual grants selected?

- **Paylines/Fundable Ranges**
 - Percentile or Impact Scores within which applications will likely be funded with minimal review
 - RPG Mechanisms with fundable ranges: R01, R21, R03, R15
- **Scientific Program Leaders (SPL) Selections**
 - P01, U01, Large R01, RFA
 - Other non-percentiled applications
- **Division Allocations**
 - Applications outside paylines
 - Administrative supplements
- **Selection Considerations**
 - Peer review
 - Filling gaps in cancer research portfolio
 - Especially novel or promising scientific approach



Thank-you.